FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE (PATENT AND TRADEMAR

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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ATTY. DOCKET NO. PHLY-24,737

SERIAL NO. 09/382,371

APPLICANT

Jeffry Jovan Philyaw and David Kent Mathews

FILING DATE August 24, 1999 GROUP XXXXX

| U.S. PATENT DOCUMENTS |    |                 |          |                     |       |          |                                  |
|-----------------------|----|-----------------|----------|---------------------|-------|----------|----------------------------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE     | NAME                | CLASS | SUBCLASS | FILING DATE<br>IF<br>APPROPRIATE |
| HW                    | 1  | 3,668,312       | 06/06/72 | Yamamoto, et al.    | 178   | 6.8      | 04/02/70                         |
| 1h                    | 2  | 4,042,792       | 08/16/77 | Pakenham, et al.    | 179   | 90       | 01/26/76                         |
| HN                    | 3  | 4,621,259       | 11/04/86 | Schepers, et al.    | 340   | 707      | 03/18/83                         |
| 112                   | 4  | 4,654,482       | 03/31/87 | DeAngelis           | 379   | 95       | 11/07/84                         |
| HN                    | 5  | 4,816,904       | 03/28/89 | McKenna, et al.     | 358   | 84       | 04/10/87                         |
| 11                    | 6_ | 4,817,136       | 03/28/89 | Rhoads              | 379   | 357      | 08/17/87                         |
| HW                    | 7  | 4,841,132       | 06/20/89 | Kajitani, et al.    | 235   | 472      | 07/16/87                         |
| 112                   | 8  | 4,894,789       | 01/16/90 | Yee                 | 364   | 521      | 02/22/88                         |
| Hr/                   | 9  | 4,899,370       | 02/06/90 | Kameo, et al.       | 379   | 104      | 06/13/88                         |
| 11                    | 10 | 4,905,094       | 02/27/90 | Pocock, et al.      | 358   | 342      | 06/30/88                         |
| Hw.                   | 11 | 4,907,264       | 03/06/90 | Seiler, et al.      | 379   | 355      | 09/14/88                         |
| HN                    | 12 | 4,937,853       | 06/26/90 | Brule, et al.       | 379   | 96       | 05/03/89                         |
| HN                    | 13 | 4,947,028       | 08/0790  | Gorog               | 235   | 381      | 07/19/88                         |
| 1/20                  | 14 | 4,975,948       | 12/04/90 | Andresen, et al.    | 379   | 355      | 10/13/89                         |
| HN                    | 15 | 4,984,155       | 01/08/91 | Geier, et al.       | 364   | 401      | 08/29/88                         |
| 1/2                   | 16 | 5,128,752       | 07/07/92 | Von Kohorn          | 358   | 84       | 10/25/90                         |
| 1hr                   | 17 | 5,144,654       | 09/01/92 | Kelley, et al.      | 379   | 356      | 03/22/91                         |
| 112                   | 18 | 5,247,347       | 09/21/93 | Litteral, et al.    | 358   | 85       | 09/27/91                         |
| 112                   | 19 | 5,262,860       | 11/16/93 | Fitzpatrick, et al. | 358   | 142      | 04/23/92                         |
| in                    | 20 | 5,285,278       | 02/08/94 | Holman              | 358   | 142      | 05/21/92                         |
| Ho                    | 21 | 5,287,181       | 02/15/94 | Holman              | 348   | 473      | 08/20/92                         |
| HN                    | 22 | 5,305,195       | 04/19/94 | Murphy              | 364   | 401      | 03/25/92                         |
| Ho                    | 23 | 5,319,454       | 06/07/94 | Schutte             | 348   | 5.5      | 11/13/90                         |
| HN                    | 24 | 5,357,276       | 10/18/94 | Banker, et al.      | 348   | 7        | 12/01/92                         |
| 112                   | 25 | 5,438,355       | 08/01/95 | Palmer              | 348   | 1        | 04/16/93                         |
| yo                    | 26 | 5,446,490       | 08/29/95 | Blahut, et al.      | 348   | 7        | 10/23/92                         |
| 100                   | 27 | 5,446,919       | 08/29/95 | Wilkins             | 455   | 6.2      | 10/09/91                         |
| HN                    | 28 | 5,570,295       | 10/29/96 | Isenberg, et al.    | 364   | 514      | 03/18/94                         |

| 141   | 29 <sup>.</sup> | 5,604,542 | 02/18/97 | Dedrick           | 348 | 552    | 02/08/95 |
|-------|-----------------|-----------|----------|-------------------|-----|--------|----------|
| IN    | 30              | 5,640,193 | 06/17/97 | Wellner           | 348 | 7      | 08/15/94 |
| UN    | 31              | 5,664,110 | 09/02/97 | Green, et al.     | 705 | 26     | 12/08/94 |
| ltw   | 32              | 5,708,780 | 01/13/98 | Levergood, et al. | 395 | 200.12 | 06/07/95 |
| Ur/   | 33              | 5,715,314 | 02/03/98 | Payne, et al.     | 380 | 24     | 10/24/94 |
| 1/2   | 34              | 5,724,424 | 03/03/98 | Gifford           | 380 | 24     | 11/29/95 |
| 10    | 35_             | 5,761,606 | 06/02/98 | Wolzien           | 455 | 6.2    | 02/08/96 |
| utn _ | 36              | 5,768,528 | 06/16/98 | Stumm             | 395 | 200.61 | 05/24/96 |
| HW .  | 37              | 5,774,870 | 06/30/98 | Storey            | 705 | 14     | 12/14/95 |
| un    | 38              | 5,790,793 | 08/04/98 | Higley            | 395 | 200.48 | 04/04/95 |
| HN    | 39              | 5,794,210 | 08/11/98 | Goldhaber, et al. | 705 | 14     | 12/11/95 |
| Ha    | 40              | 5,905,251 | 05/18/99 | Knowles           | 235 | 472.01 | 07/11/97 |
| w     | 41              | 5,907,793 | 05/25/99 | Reams             | 455 | 3.1    | 08/03/95 |
| MM    | 42              | 5,933,829 | 08/03/99 | Durst, et al.     | 707 | 10     | 11/08/97 |

EXAMINER

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ATTY. DOCKET NO.
PHLY-24,737

APPLICANT
Jeffry Jovan Philyaw and David Kent Mathews

FILING DATE
August 24, 1999

GROUP
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| _                   |    | ADE             | MABO<br>U.S. PATEN | T DOCUMENTS       |       | 0.0     | 70                            |
|---------------------|----|-----------------|--------------------|-------------------|-------|---------|-------------------------------|
| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE               | NAME              | CLASS | SUBCLAS | ELLING DATE IF<br>APPROPRINTE |
| Atal                | 1  | 4,002,886       | 01/11/77           | Sundelin          | 235   | 61.7R A | 9<br>N                        |
| HW                  | 2  | 4,365,148       | 12/21/82           | Whitney           | 235   | 3,83    | ZD00                          |
| un                  | 3  | 4,780,599       | 10/25/88           | Baus              | 235   | 383     | · ."                          |
| HN                  | 4  | 4,785,296       | 11/15/88           | Tabata et al.     | 340   | 731     |                               |
| 115                 | 5  | 4,833,308       | 05/23/89           | Humble            | 235   | 383     |                               |
| HN                  | 6  | 4,845,634       | 07/04/89           | Vitek et al.      | 364   | 468     |                               |
| HN                  | 7  | 4,901,073       | 02/13/90           | Kibrick           | 341   | 13      |                               |
| itw                 | 8  | 4,916,293       | 04/10/90           | Cartlidge et al.  | 235   | 375     |                               |
| HW                  | 9  | 4,959,530       | 09/25/90           | O'Connor          | 235   | 383     |                               |
| 4~                  | 10 | 5,038,023       | 08/06/91           | Saliga            | 235   | 385     |                               |
| un                  | 11 | 5,054,096       | 10/01/91           | Beizer            | 382   | 41      |                               |
| m                   | 12 | 5,088,045       | 02/11/92           | Shimanaka et al.  | 364   | 468     |                               |
| in                  | 13 | 5,111,391       | 05/05/92           | Fields et al.     | 364   | 401     |                               |
| ih                  | 14 | 5,115,326       | 05/19/92           | Burgess et al.    | 358   | 440     |                               |
| HN                  | 15 | 5,161,037       | 11/03/92           | Saito             | 358   | 468     |                               |
| in                  | 16 | 5,161,214       | 11/03/92           | Addink et al.     | 395   | 145     |                               |
| In                  | 17 | 5,182,705       | 01/26/93           | Barr et al.       | 364   | 401     |                               |
| 17N                 | 18 | 5,189,630       | 02/23/93           | Barstow et al.    | 364   | 514     |                               |
| HN                  | 19 | 5,191,525       | 03/02/93           | LeBrun et al.     | 364   | 419     |                               |
| HN                  | 20 | 5,198,644       | 03/30/93           | Pfeiffer et al.   | 235   | 383     |                               |
| HN                  | 21 | 5,235,654       | 08/10/93           | Anderson et al.   | 382   | 61      |                               |
| HN                  | 22 | 5,241,402       | 08/31/93           | Aboujaoude et al. | 358   | 406     |                               |
| HN                  | 23 | 5,243,531       | 09/07/93           | DiPippo et al.    | 364   | 468     | ·                             |
| HOW                 | 24 | 5,288,976       | 02/22/94           | Citron et al.     | 235   | 375     |                               |

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| · Mar      | . 25     | 5,296,688 | 03/22/94 | Hamilton et al.    | 235 | 375       |      |        |        |
|------------|----------|-----------|----------|--------------------|-----|-----------|------|--------|--------|
| jan        | 26       | 5,304,786 | 04/19/94 | Pavlidis et al.    | 235 | 462       |      |        |        |
| Im         | 27       | 5,324,922 | 06/28/94 | Roberts            | 235 | 375 ਨ     |      |        |        |
| OFF        | 28       | 5,331,547 | 07/19/94 | Laszlo             | 364 | 413.0     | 8    | RE     |        |
| مرا س      | 29       | 5,340,966 | 08/23/94 | Morimoto           | 235 | 376 🔀     | -6   | 当      |        |
| )df 2000   | 30<br>30 | 5,362,948 | 11/08/94 | Morimoto           | 235 | 376 ± 376 | 2000 | CEIVED |        |
| PADE NO SE | 31       | 5,382,779 | 01/17/95 | Gupta              | 235 | 383       |      |        |        |
| 170        | 32       | 5,386,298 | 01/31/95 | Bronnenberg et al. | 358 | 103       |      |        |        |
| IN         | 33       | 5,398,336 | 03/14/95 | Tantry et al.      | 395 | 600       |      |        |        |
| HN         | 34       | 5,405,232 | 04/11/95 | Lloyd et al.       | 414 | 280       |      |        |        |
| Har        | 35       | 5,418,713 | 05/23/95 | Allen              | 364 | 403       |      |        |        |
| HN         | 36       | 5,420,403 | 05/30/95 | Allum et al.       | 235 | 375       |      |        |        |
| Har        | 37       | 5,420,943 | 05/30/95 | Mak                | 382 | 313       |      |        |        |
| HN         | 38       | 5,424,524 | 06/13/95 | Ruppert et al.     | 235 | 462       |      |        |        |
| 110        | 39       | 5,491,508 | 02/13/96 | Friedell et al.    | 348 | 16        |      |        |        |
| HN         | 40       | 5,493,107 | 02/20/96 | Gupta et al.       | 235 | 383       |      |        |        |
| Ital       | 41       | 5,519,878 | 05/21/96 | Dolin, Jr.         | 395 | 800       |      | _      |        |
| HN         | 42       | 5,530,852 | 06/25/96 | Meske, Jr. et al.  | 396 | 600       |      |        |        |
| 112        | 43       | 5,572,643 | 11/05/96 | Judson             | 395 | 793       |      | _      |        |
| Ho         | 44       | 5,592,551 | 01/07/97 | Lett et al.        | 380 | 20        |      |        |        |
| 110        | 45       | 5,594,226 | 01/14/97 | Steger             | 235 | 379       |      |        |        |
| Ho         | 46       | 5,602,377 | 02/11/97 | Beller et al.      | 235 | 462       |      |        |        |
| Har        | 47       | 5,649,186 | 07/15/97 | Ferguson           | 395 | 610       |      |        |        |
| Hal        | 48       | 5,671,282 | 09/23/97 | Wolff et al.       | 380 | 25        |      |        |        |
| HN         | 49       | 5,675,721 | 10/07/97 | Freedman et al.    | 395 | 129       |      |        |        |
| HN         | 50       | 5,682,540 | 10/28/97 | Klotz, Jr. et al.  | 395 | 766       |      |        |        |
| HN         | 51       | 5,694,163 | 12/02/97 | Harrison           | 348 | 13        |      | ,      |        |
| HN         | 52       | 5,710,887 | 01/20/98 | Chelliah et al.    | 395 | 226       |      |        | $\neg$ |
| 2tn        | 53       | 5,745,681 | 04/28/98 | Levine et al.      | 395 | 200.3     |      |        |        |
| HN         | 54       | 5,754,906 | 05/19/98 | Yoshida            | 396 | 448       |      |        | $\Box$ |
| Ha         | 55       | 5,757,917 | 05/26/98 | Rose et al.        | 380 | 25        |      |        |        |
|            |          |           |          |                    |     |           | _    |        |        |

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|     | . And    | 56 ·        | 5,764,906 | 06/09/98 | Edelstein et al.     | 395 | 200.49       |       |          |                |
|-----|----------|-------------|-----------|----------|----------------------|-----|--------------|-------|----------|----------------|
|     | 16       | 57          | 5,765,176 | 06/09/98 | Bloomberg            | 707 | 514          |       |          |                |
|     | In .     | 58          | 5,774,664 | 06/30/98 | Hidary et al.        | 395 | 200          |       |          |                |
|     | 10%      | 59          | 5,778,367 | 07/07/98 | Wesinger, Jr. et al. | 707 | 10           |       |          |                |
|     | IN A     | 60          | 5,791,991 | 08/11/98 | Small                | 463 | 41 7         |       | ~        |                |
| 40  | עאמע     | <b>2</b> 61 | 5,796,952 | 08/18/98 | Davis et al.         | 305 | 200.545      | ост   | <u> </u> |                |
|     |          | 62          | 5,804,803 | 09/08/98 | Cragun et al.        | 235 | 375 <u>F</u> | -6    | A A      |                |
| 100 | MARKARES | 63          | 5,815,776 | 09/29/98 | Nukada               | 399 | 1/4          | 2,000 | RECEIVED |                |
|     | 110      | 64          | 5,832,223 | 11/03/98 | Hara et al.          | 395 | 200.47       |       | •        | 438)<br>(j. 14 |
|     | IN       | 65          | 5,833,468 | 11/10/98 | Guy et al.           | 434 | 350          |       |          | ij rej         |
|     | My       | 66          | 5,848,202 | 12/08/98 | D'Eri et al.         | 382 | 306          |       |          |                |
|     | 140      | 67          | 5,848,413 | 12/08/98 | Wolff                | 707 | 10           |       |          |                |
|     | HN       | 68          | 5,854,897 | 12/29/98 | Radziewicz et al.    | 709 | 224          |       |          |                |
|     | Ho       | 69          | 5,864,823 | 01/26/99 | Levitan              | 105 | 14           |       |          |                |
| _   | May      | 70          | 5,869,819 | 02/09/99 | Knowles et al.       | 235 | 375          | ***   |          |                |
|     | HN       | 71          | 5,905,248 | 05/18/99 | Russell et al.       | 235 | 462          |       |          |                |
|     | HN       | 72          | 5,905,665 | 05/18/99 | Rim                  | 364 | 746          |       |          |                |
|     | Ha       | 73          | 5,905,865 | 05/18/99 | Palmer et al.        | 395 | 200.47       |       |          |                |
|     | Had      | 74          | 5,913,210 | 06/15/99 | Call                 | 707 | 4 .          |       |          |                |
|     | AN       | 75          | 5,915,090 | 06/22/99 | Joseph et al.        | 709 | 202          |       | •        |                |
|     | Ha       | 76          | 5,918,214 | 06/29/00 | Perkowski            | 705 | 27           |       |          |                |
|     | Ha       | _77         | 5,925,865 | 07/20/99 | Steger               | 235 | 379          |       |          |                |
|     | 1/2/     | 78          | 5,929,850 | 07/27/99 | Broadwin et al.      | 345 | 327          |       |          |                |
|     | 1/1      | 79          | 5,932,863 | 08/03/99 | Rathus et al.        | 235 | 462.15       |       |          |                |
| _   | IN       | 80          | 5,948,061 | 09/07/99 | Merriman et al.      | 709 | 219          |       |          |                |
|     | HN       | 81          | 5,957,695 | 09/28/99 | Redford et al.       | 434 | 307R         |       |          |                |
|     | 110      | 82          | 5,960,411 | 09/28/99 | Hartman et al.       | 705 | 26           |       |          |                |
|     | Ho       | 83          | 5,961,603 | 10/05/99 | Kunkel et al.        | 709 | 229          |       |          |                |
|     | 110      | 84          | 5,970,471 | 10/19/99 | Hill                 | 705 | 26           |       |          |                |
|     | Ha       | 85          | 5,970,472 | 10/19/99 | Allsop et al.        | 705 | 26           |       |          |                |
|     | in       | 86          | 5,971,277 | 10/26/99 | Cragun et al.        | 235 | 462.01       |       |          |                |

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| ,         | <del></del> |                 |          |                  |       |               |                       |
|-----------|-------------|-----------------|----------|------------------|-------|---------------|-----------------------|
| MA.       | 87 .        | 5,974,443       | 10/26/99 | Jeske            | 709   | 202           |                       |
| in        | 88          | 5,974,451       | 10/26/99 | Simmons          | 709   | 218           |                       |
| 110       | 89          | 5,976,833       | 11/02/99 | Furukawa et al.  | 435   | 69.14         |                       |
| Olp       | 90          | 5,978,773       | 11/02/99 | Hudetz et al.    | 709   | $\overline{}$ | R                     |
| I how     | 91          | 5,991,739       | 11/23/99 | Cupps et al.     | 705   | 2192          | F CH                  |
| 103 IN    | 92          | 5,992,752       | 11/30/99 | Wilz, Sr. et al. | 235   | 472.4         | CEIVED                |
| In        | 93          | 5,995,105       | 11/30/99 | Reber et al.     | 345   |               |                       |
| DEMARK OF | 94          | 6,002,394       | 12/14/99 | Schein et al.    | 345   | 356 2         |                       |
| un        | 95          | 6,003,073       | 12/14/99 | Solvason         | 709   | 219           |                       |
| 110       | 96          | 6,006,257       | 12/21/99 | Slezak           | 709   | 219           |                       |
| Ital      | 97          | 6,009,410       | 12/28/99 | LeMole et al.    | 709   | 219           |                       |
| 112       | 98          | 6,009,465       | 12/28/99 | Decker et al.    | 709   | 219           |                       |
| IN        | 99          | 6,012,102       | 01/04/00 | Shachar          | 710   | 5             |                       |
| Hay       | 100         | 6,018,764       | 01/25/00 | Field et al.     | 709   | 217           |                       |
| HN        | 101         | 6,049,539       | 04/11/00 | Lee et al.       | 370   | 355           |                       |
| HN        | 102         | 6,064,929       | 05/16/00 | Perkowski        | 705   | 26            |                       |
| 112       | 103         | 6,108,656       | 08/22/00 | Durst et al.     | 707   | 10            |                       |
|           |             |                 |          | N PATENT DOCUME  |       | 110           | <u> </u>              |
|           |             | DOCUMENT NUMBER | DATE     | COUNTRY          | CLASS | SUBCLASS      | TRANSLATION<br>YES NO |
| 77.       | F1          | WO 95/10813     | 10/14/94 | PCT              | G06F  | 15/403        | NO                    |
|           | F2          | WO 96/07146     | 9/1/95   | PCT              | G06F  | 17/00         | NO                    |
|           | F3          | WO 97/37319     | 2/20/97  | PCT              | G06K  | 7/10          | NO                    |
|           | F4          | WO 98/03923     | 1/29/98  | PCT              | G06F  | 15/163        | NO                    |
|           | F5          | WO 98/06055     | 2/12/98  | PCT              | G06F  | 163/00        | NO                    |
|           | F6          | WO 98/09243     | 8/22/97  | PCT              | G06F  | 19/00         | NO                    |
|           | F7          | WO 98/19259     | 5/7/98   | PCT              | G06F  | 17/60         | NO                    |
|           | F8          | WO 98/40823     | 9/17/98  | PCT              | G06F  | 13/00         | NO                    |
|           | F9          | WO 99/63457     | 6/3/99   | PCT              | G06F  | 17/30         | NO                    |
|           | F10         | EP 0 961 250 A2 | 12/1/99  | EP               | G07F  | 19/00         | NO                    |
|           | F11         | JP 10188140A    | 12/24/96 | JP               | G07G  | 1/12          | NO                    |

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

|   | Mrs.        | D1 ·   | "Group Decision Support System: Development and Application", Energy Systems, Westinghouse, Pittsburgh, PA  |  |  |  |  |  |  |
|---|-------------|--------|---|--|--|--|--|--|--|
|   | 110         | D2     | "New Technologies in Credit Card Authentication", Pieter de Bryne, Institute for Communications Technology, Zurich, Switzerland   |  |  |  |  |  |  |
|   | HOW         | D3     | "AVITAL, a Private Teaching System by Fax Communication", Atsusji Iizawa, Noriro Sugiki, Yukari<br>Shitora and Hideko Kunii, Software Research Center, Tokyo, Japan   |  |  |  |  |  |  |
|   | a IN        | ¿ D4   | "Document on Computer" USPS Technical Support Center, Norman, OK  |  |  |  |  |  |  |
|   | 2 Alas      | D5     | "Development of a Commercially Successful Wearable Data Collection System", Symbol Technologies, Inc.   |  |  |  |  |  |  |
| ₹ | ing         | D6     | "What do forward looking companies consider in their plans and developments?, A.G. Johnston, Nestle   |  |  |  |  |  |  |
|   | IN OFF      | D7     | "The Automation Synergy", Neves and Noivo, Portugal   |  |  |  |  |  |  |
|   | W           | D8     | "Integration of Hand-Written Address Interpretation Technology into the United States Postal Service Remote Computer Reader System", Srihari (CEDAR, SUNY at Buffalo) and Kueberg (U.S. Postal Service, VA) |  |  |  |  |  |  |
|   | IM          | D9     | "Paper Based Document Security - A Review", van Renesse, TNO Institute of Applied Physics, The Netherlands  |  |  |  |  |  |  |
|   | · BN        | D10    | "IEEE Standard for Bar Coding for Distribution Transformers" Transformers Committee of the IEEE Power Engineering Society, The Institute of Electrical and Electronics Engineers, Inc. NY                   |  |  |  |  |  |  |
| Į | M           | D11    | "The Stylus <sup>TM</sup> -Shopping from Home", STYLUS Innovation, MA   |  |  |  |  |  |  |
|   | Yal         | D12    | "Distributing Uniform Resource Locators as Bar Code Images", IBM Technical Disclosure Bulleting, Jan 1996   |  |  |  |  |  |  |
|   | ng          | D13    | "Bar Code Method for Automating Catalog Orders", IBM Technical Disclosure Bulletin, September 1998  |  |  |  |  |  |  |
|   | HN          | D14    | "Bar-Code Recognition System Using Image Processing", Kuroki, Yoneoka et al., Hitachi Research Laborator  |  |  |  |  |  |  |
|   | EXAMINER /  | ng     | mul DATE CONSIDERED 5/30/02   |  |  |  |  |  |  |
|   | Draw line t | hrough | if citation considered, whether or not citation is in conformance with MPEP 609; citation if not in conformance and not considered. Include copy of this form with to applicant.                            |  |  |  |  |  |  |

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